#### 1/230648/2023

#### Corrigendum No.-II

#### RFB No.IN-IWT-361678-CS-QCBS

# Assignment Title:Hiring of Consultancy firm for preparation of Integrated Water Transport Strategy for Assam Inland Water Transport Sector

| Clause<br>Reference  |   | Existing   |  |   | Modified as   |  |
|--|---|--|--|---|---|--|
| Section 2. Instructions to   | S.<br>No.                                 | Criteria   | Documents<br>Required  | S.<br>No.                                     | Criteria  | Documents<br>Required  |
| Consultants and<br>Data Sheet,<br>E. Data Sheet<br>21.1 Part A:<br>Mandatory<br>Criteria,<br>Sl.No.3 | 3   | The firm should have completed at least 5 (five) projects related to Master Planning/ Business Plan/ DPR/Feasibility Study/ Transport Strategy / in Marine sector related to Ports/ Inland Waterways Projects during the last 5 years (as on the last date of submission) out of which:  • 1(one) should be in the IWT/Marine Sector.  • 1(one) should be a donor funded project in India in any sector. | Contract Completion certificate or Contract documents along with proof of final payment. | 3   | The firm should have completed at least 5 (five) projects related to Master Planning/ Business Plan/ DPR/Feasibility Study/ Transport Strategy / in Marine sector related to Ports/ Inland Waterways Projects during the last 10 years (as on the last date of submission) out of which:  • 1(one) should be in the IWT/Marine Sector.  • 1(one) should be a donor funded project in India in any sector. | Contract Completion certificate or Contract documents along with proof of final payment. |
|  |   | m should have experiences in providing at least 1 project Preparation during the last 5 years (as on the last dat  |  |   |   | - 1  |
| Instructions to<br>Consultants and<br>Data Sheet<br>E. Data Sheet<br>21.1 Part B:                    | experie<br>on the<br>1) At<br>Poin<br>max | ical experience Criteria: The firm should havence of successfully executing the following during the last date of submission): least 1 project in Strategy Document preparation for the (Additional 5 marks for additional assignment for multiple marks)  | ne last 5 years (as  Port or IWT - 5 (s). Subjected to                                   | experie<br>(as on t<br>1) At l<br>Poii<br>max | ence of successfully executing the following during the last date of submission): least 1 project in Strategy Document preparation for this (Additional 5 marks for additional assignment(skimum 15 marks)  | ne last <b>10 years</b> Port or IWT - 5  S). Subjected to                                |
| Criteria<br>S.no. II   | Sec<br>3) At l<br>4) At l                 | least 1 project in Strategy Document preparation for a<br>tor - 5 Points<br>least 1 project in Master Planning for Port or IWT - 5 F<br>least 1 project in Business Plan for Port or IWT - 5 Poi<br>least 1 project in DPR/ Feasibility Study for Port or IW   | Points<br>nts  | Trai<br>3) At l<br>4) At l                    | least 1 project in Strategy Document prepar<br>nsportation Sector - 5 Points<br>east 1 project in Master Planning for Port or IWT - 5 I<br>east 1 project in Business Plan for Port or IWT - 5 Poi<br>east 1 project in DPR/ Feasibility Study for Port or IW   | Points<br>nts  |

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| O23 Clause<br>Reference   | Existing  | Modified as  |
|---------------------------|---|--|
|                           | 6) At least 1 project in Policy and Legal Framework for Port or IWT or any  | 6) At least 1 project in Policy and Legal Framework for Port or IWT  |
|                           | transportation sector - 5 Points  | or any transportation sector - 5 Points  |
| Section 2.                |   | Clause ITC 6.3 is inserted at BDS:   |
| Instructions to           |   | Joint Venture between a maximum of two firms is allowed. However the   |
| Consultants               |   | JV partner should have the experience of similar assignments.  |
| and Data Sheet            |   |  |
| E. Data Sheet             |   |  |
| Section 7.                | "Review State's climate plan to understand the current level of emissions   |  |
| Terms of                  | from Transport sector (including urban transport), future projections in both   |  |
| Reference,                |   | from Transport sector (including urban transport), future projections in both  |
| 3. Scope of               | emissions and its recommendations in IWT, Assam. (in case State level   | with and without scenario with an increased focus on transport sector  |
| Consultancy               | transport emission inventory is not available, consultants to prepare a   | emissions and its recommendations in IWT, Assam."  |
| , Task 1                  | broad level model to assess emissions from different modes including but  |  |
|                           | not limited to road, rail, air and inland water transport of transit for next   |  |
|                           | 20 years for passenger and cargo movement)"   |  |
| Section 2,<br>ITC, E-Data | The Proposals must be submitted no later than:  | The Proposals must be submitted no later than:   |
|                           | Date: 28 <sup>th</sup> July,2023  | Date: 10 <sup>th</sup> August,2023   |
| No. 17.7,<br>17.9         | Time: 14:00 hours IST   | Time: 14:00 hours IST  |
| Section 2,<br>ITC, E-Data | The opening shall take place at:  | The opening shall take place at:   |
| Sheet Point No. 17.7,     | Assam Inland Water Transport Development Society, 3 <sup>rd</sup> Floor, Directorate of<br>Inland Water Transport, Near Ulubari Flyover, Guwahati-781007, India | Assam Inland Water Transport Development Society, 3 <sup>rd</sup> Floor, Directorate of Inland Water Transport, Near Ulubari Flyover, Guwahati-781007, India |
| 17.9                      | Date : 28 <sup>th</sup> July,2023   | Date : 10 <sup>th</sup> August,2023  |
|                           | Time: 16:00 hours IST   | Time: 16:00 hours IST  |

(Gaurav Upadhyay, IPS) State Project Director, Assam IWTD Society 1/230648/2023

#### Annexure-A

#### **Minutes of Pre Bid Meeting**

Date: 11/07/2023

Tender Reference No. IN-IWT-361678-CS-QCBS

Venue: AIWTD Society Conference Hall

Replies to Pre-Bid Queries for Hiring of Consultancy firm for preparation of Integrated Water Transport Strategy for Assam Inland Water Transport Sector.held on 11/07/2023 at 1:00 PM

| Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP  | Existing Clause  |  | Bidders query/Suggestion   | AIWTDS Response             |
|-----------|---|--|--|--|-----------------------------|
| 1         | Section 2. Instructions to Consultants and Data Sheet, E. Data Sheet 21.1 Part A: Mandatory Criteria, Sl.No.3 | The firm should have completed at least 5 (five) projects related to Master Planning/ Business Plan/ DPR/Feasibility Study/ Transport Strategy / in Marine sector related to Ports/ Inland Waterways Projects during the last 5 years (as on the last date of  | Criteria Documents Required Contract Completion certificate or Contract documents along with proof of final payment. | •Owing to covid related lockdown consulting projects were reduced for a period of around 3 years in the last 5 years therefore it is requested to include eligible projects from the last 10 years as eligible for the Bid. The revised clause may be read as:  The firm should have completed at least 5 (five) projects related to Master Planning/ Business Plan/ DPR/Feasibility Study/ Transport Strategy / Transaction Advisory in Marine, Ports/ Inland Waterways and Other Transport related sectors related to Projects during the last10 years (as on the last date of submission) out of which:  •1(one) should be in the IWT/Marine Sector. •1(one) should be a donor funded  Ongoing projects may also be considered as eligible. | Please refer Corrigendum II |
| 2         | Section 2. Instructions to Consultants and Data Sheet E. Data Sheet 21.1 Part B: Evaluation Criteria S.no.II  | Technical experience Criteria: The firm so the minimum experience of successfully experience of succes | xecuting the last date of preparation for 5 marks for maximum 15   | The revised clause may then be read as below:  1) At least 1 project in Strategy Document  | Please refer Corrigendum II |

|     |    |     | _    |     |      | _ |
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| 023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP   | Existing Clause   | Bidders query/Suggestion  | AIWTDS Response  |
|------------------|--|---|---|--|
|                  |  | <ul> <li>any Transportation Sector - 5 Points</li> <li>3) At least 1 project in Master Planning for Port or IWT - 5 Points</li> <li>4) At least 1 project in Business Plan for Port or IWT - 5 Points</li> <li>5) At least 1 project in DPR/ Feasibility Study for Port or IWT - 5 Points</li> <li>6) At least 1 project in Policy and Legal Framework for Port or IWT or any transportation sector - 5 Points</li> </ul> | Assam.  |  |
| 3                | Section 7. Terms<br>of Reference<br>Experts<br>Requirement &<br>Eligibility Criteria<br>S.No.1 | Team Leader/IWT Strategy Specialist -  Graduate in Engineering / planning  MBA/Master's Degree in relevant field  | Kindly request to include educational qualification for Team leader as:  Graduate in Engineering / planning / statistics / Graduate in economics / Mathematics  | No Change  |
| 4                | Additional query   | Joint Venture/Consortium  | We would like to request the inclusion of JV / Consortium with joint and several liability to be considered as eligible for the bidding purposes since the scope of works entails technical as well strategic functional areas while devising IWT strategy for the Client.  | Please refer Corrigendum- II   |
| 5                | Invitation of Proposals (Procurement Notice), Point no. (d)                                    | Identify various ongoing and proposed projects on rivers (for next 5 years) by international funding, central and state government and any other as applicable.   | We request the Authority to kindly define the study stretch for this Assam IWT assessment.  We request the Authority to kindly clarify the adjacent statement.  Kindly also suggest if the selected consultant is to identify projects from the existing reports/ studies/ etc. carried out under various schemes and umbrella projects or would the selected consultant be responsible to identify new assignments under various international funding agencies, central and state government schemes/grants/ etc. | The stretch is on the river Brahmaputra, Barak and their major tributaries, including National Waterways declared by IWAI in the State of Assam along with major water bodies where IWT service is feasible both for ferry and tourism purpose.  Consultant shall identify |

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| 20 23 | Section,   |   |  |  |
|-------|--|---|--|--|
| Sl.   | subsection   | Eviation Clause   | D: 11  | AUA/TDC Decreases  |
| No    | and clause in<br>RFP   | Existing Clause   | Bidders query/Suggestion   | AIWTDS Response  |
|       |  |   |  | projects from the existing   |
|       |  |   |  | reports/ studies/ etc. as well   |
|       |  |   |  | as the studies carried out   |
|       | 7  |   | ***  | under the assignment.  |
| 6     | Invitation of Proposals  | Identify the gaps in the existing and propose infrastructure and augmentation plans (if any) with   | We request the Authority to kindly clarify what is considered within "other infra associated with IWT"   | "other infra associated with IWT" means the last mile  |
|       | (Procurement<br>Notice), Point no.<br>(e)                        | respect to the other infra associated with IWT.   |  | connectivity and networking integrity etc.   |
| 7     | Invitation of Proposals (Procurement Notice), Point no. (f)      | Categorize the potential of state AIWT into various sectors/clusters, sub-sectors/sub-clusters and prioritize the projects depending on the potential/ importance. Prepare a high-level Master plan & Business Plan and phasing plan for Assam IWT Sector (from international trade, National trade, state, and regional perspective) along with broad project costing. | We request the Authority to kindly clarify the overall intent indicated the said statement.  Kindly also clarify the upper limit to the number of prioritized projects for which the master plans would be prepared and a project phasing plan would have to be indicated.   | The intent of the study is planned for identifying the potential of IWT sector in the entire State of Assam.   |
| 8     | Invitation of Proposals (Procurement Notice), Point no. (g)      | Prepare the implementation plan, project structuring, resource planning, regulatory monitoring and plan for company operations based on priority/category of projects.  | We request the Authority to clarify what is to be considered for implementation plan, resource plan, plan for company operation, etc.  Is the Authority considering PPP in the implementation for the priority projects?  Kindly also clarify if the above required plans and structuring requirements would have to be developed for each project/sub-project or the same would have to be prepared for the entire gamut of priority projects as a whole. | The study is to be carried out with the sole purpose of helping the company to generate revenue in a viable and sustainable manner.  Implementation via PPP mode may also be considered. Plans and structuring requirements would have to be developed for each project/sub-project identified by the consultant on approval of the authority. |
| 9     | Invitation of<br>Proposals<br>(Procurement<br>Notice), Point no. | Prepare a plan for phased implementation of safe, non-polluting and low-carbon vessel operations by Assam IWT sector.   | We request the Authority to kindly clarify that if the Authority has already procured the "safe, non-polluting and low-carbon vessels".  If yes, then kindly share details including no. of  | Consultant under this assignment is not required to prepare a plan for procurement of vessels.   |

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|--------------|---|---|---|---|---|---|---|---|---|---|---|---|

| 023<br>S<br>N |   | Existing Clause  | Bidders query/Suggestion  vessels, type of vessels (passenger ferries/ RoRo   | AIWTDS Response |
|---------------|---|--|---|-----------------|
|               |   |  | vessels), size, etc.  If not, then kindly clarify if the selected Consultant under this assignment have to consider procurement of vessels as one of the priority projects and accordingly prepare a plan for procurement and phased implementation of vessel including operations  |                 |
| 1             | Proposals<br>(Procurement<br>Notice), Point no.<br>(b), page 02 And<br>Clauses 17.7 and<br>17.9 | The Proposals must be submitted no later than: Date: 28th July,2023 Time: 14:00 hours IST  | We kindly request the Authority to consider extension of the proposal submission deadline by at least three weeks post publication of the pre-bid responses. This will enable us in preparation of responsive proposal to the RFP requirements and address any subsequent changes post receipt of responses to pre-bid queries.   |                 |
| 1             | Section 2. Instructions to Consultants - Data Sheet, Clause 11.1                                | Participation of Sub-consultants, Key Experts and Non-Key Experts in more than one Proposal is permissible  Yes  | We request the Authority to consider that every expert identified for the assignment should be exclusive for only one proposal and should not be considered in multiple proposals.  Therefore, we would request the Authority to modify the response to "No". This would help provide you with the best suited, exclusive team with suitable expertise and experience. This is also to avoid confidentiality challenges for any Consultancy Firm. |                 |
| 1.            | Section 2. Instructions to Consultants- Data Sheet, Clause 21.1 Part A: Mandatory Criteria (3)  | The firm should have completed at least 5 (five) projects related to Master Planning/ Business Plan/DPR/Feasibility Study/ Transport Strategy / in Marine sector related to Ports/ Inland Waterways Projects during the last 5 years (as on the last date of submission) out of which: 1(one) should be in the IWT/Marine Sector. 1(one) should be a donor funded project in India in any sector. Documents Required: Contract Completion certificate or Contract documents along with proof of final payment. | We would like to highlight that the period of five (05) years stated here falls within the COVID-19 pandemic and assignments within the said period were constrained. Since the pandemic reduced the project efficiency due to lockdowns, we would request the Authority to consider projects within the last 10 years instead of the stated 05years.   |                 |

| 1/230648/2023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP | Existing Clause | Bidders query/Suggestion   | AIWTDS Response |
|----------------------------|--|-----------------|--|-----------------|
|                            |  |                 | We would also like to request the Authority to consider Port related Allied services as part of the required sectors as they form an essential part of your freight movement through seaports as well as through inland waterway freight movement connecting it to the hinterland.   |                 |
|                            |  |                 | Further, we request the Authority to consider segregating the requirement of 05 projects to 02 projects in Master Planning/DPR/Pre-feasibility/Feasibility and 03 Projects on Business Plan/ Strategic Plan/ Transport Strategy / in Maritime sector related to Ports/ Inland Waterways/ and Port related Allied Infrastructure.                     |                 |
|                            |  |                 | Also, regarding the supporting documents in favour of project experience, typically certain clients do not prefer to issue such completion certificates either due to confidentiality or other reasons. For instance, IFI's like World Bank and ADB do not publish completion certificates for their projects, they only provide Letter of Intent /  |                 |
|                            |  |                 | Project Contract. Therefore, we kindly request the Authority to also consider Letter of Award / Letter of Acceptance / Work Order / Project Contract / Client's email confirming engagement of the bidder as documentary evidence for the experience claimed in the proposal. Therefore, the suggested clause is as follows:                         |                 |
|                            |  |                 | "The firm should have ongoing/ completed at least 05 (Five) projects with atleast 02 projects related to Master Planning/ DPR/Pre-Feasibility/ Feasibility Study and 03 projects on Business Plan/ Strategic Plan/ Transport Strategy / in Maritime sector related to Ports/ Inland Waterways/ and Port related Allied services. Projects during the |                 |

| SI.<br>No | Section,<br>subsection<br>and clause in<br>RFP   | Existing Clause  | Bidders query/Suggestion  | AIWTDS Response      |
|-----------|--|--|---|----------------------|
|           |  |  | last 10 years (as on the last date of submission) out of which:01(one) should be in the IWT/Maritime Sector 01(one) should be a donor funded project in India in any sector.  |                      |
|           |  |  | Documentary Support: Letter of Award / Letter of Acceptance / Work Order / Project Contract / Client's email confirming engagement of the bidder / Contract Completion certificate or Contract documents along with proof of final payment."  |                      |
| 13        | Section 2. Instructions to Consultants- Data Sheet, Clause 21.1 Part A: Mandatory Criteria (4)   | The firm should have experiences in providing at least 1 project in Transport Strategy Preparation during the last 5 years (as on the last date of submission).  Documents Required: Contract Completion certificate or Contract documents along with proof of final payment   | As requested in Query no. 8 above, we kindly request the Authority to consider ongoing assignments as projects related to formulation of transport strategies, for any government entity, include an implementation phase with a minimum duration of three years.  Therefore, the suggested Clause is as follows:  "The firm should have experiences in providing at least 1 project in Transport Strategy Preparation during the last 10 years (any ongoing project awarded in last 10 years may also be considered).  Documentary Support: Letter of Award / Letter of Acceptance / Work Order / Project Contract / Client's email confirming engagement of the bidder/ Contract Completion certificate or Contract documents along with proof of final payment | Refer Corrigendum-II |
| 14        | Section 2. Instructions to Consultants- Data Sheet, Clause 21.1 Part B: Evaluation Criteria (II) | Technical experience Criteria: The firm should have the minimum experience of successfully executing the following during the last 5 years (as on the last date of submission):  1) At least 1 project in Strategy Document preparation for Port or IWT- 5 Points (Additional 5 marks for additional assignment(s). Subjected to maximum 15 marks)  2) At least 1 project in Strategy Document preparation for any Transportation Sector - 5 Points  3) At least 1 project in Master Planning for Port or IWT - 5 Points | As clarified in Query no.8, we kindly request the Authority to consider the project experience of last 10 years instead of 05 years. As also indicated in the Query No. 9, request the Authority to include ongoing assignments as Strategy/Pre-feasibility/ Feasibility/ Master Planning/ DPR/ assignments usually include a Transaction phase and may also include a project implementation/ handholding phase with a minimum   | Refer Corrigendum-II |

4) At least 1 project in Business Plan for Port or IWT- 5

| 30648/2023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP  | Existing Clause  | Bidders query/Suggestion   | AIWTDS Response |
|-------------------------|---|--|--|-----------------|
|                         |   | Points 5) At least 1 project in DPR/ Feasibility Study for Port or IWT- 5 Points 6) At least 1 project in Policy and Legal Framework for Port or IWT or any transportation sector - 5 Points   | years (as on the last date of submission, ongoing  |                 |
| 15                      | Section 2. Instructions to Consultants- Data Sheet, Clause 21.1 Part B: Evaluation Criteria (III) | III Adequacy and quality of the proposed methodology, and work plan in responding to the Terms of Reference (TORs): {Notes to Consultant: The Client will assess whether the proposed methodology is clear, responds to the TORs, work plan is realistic and implementable; overall team composition is balanced and has an appropriate skills mix; and the work plan has right input of Experts}  Evaluation Sub Criteria  A Technical Approach and Methodology  B Work Plan  C Organisation & Staffing  Total Points(Criteria-III)  Maximum Points  Maximum Points  Points  15  Maximum Points | We understand that more than the technical approach and methodology, the team executing the assignment is essential for delivering such an intricately complex assignment.  Therefore, we would request the Authority to consider the suggestion in the following modifications for the criteria on "Maximum Points of the Evaluation sub-criteria"  II Adequacy and quality of the plan in responding to the Terms of Reference (TORs): {Notes to Consultant: The Client will assess whether the proposed methodology is clear, responds to the TORs, work plan is realistic and implementable; overall team composition is balanced and has an appropriate skills mix; and the | No change       |

| Section 2.   III   Key Experts' qualifications and competence for the Assignment: (Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant. Staffing requirement mentioned in the table below is the minimum requirement for the consultancy assignment. Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.)    New Experts   Temple Academy Waximum Points   We understand that the experts are essential to deliver this intricate complex assignment and therefore we have proposed the following evaluation points related to each expert.    We understand that the experts are essential to deliver this intricate complex assignment and therefore we have proposed the following evaluation points related to each expert.    We warm was proposed the following evaluation points related to each expert.   We expert of the Assignment: (Notes to Consultant: each position number corresponds to the same for the Key Experts in the table below is the minimum requirement for the consultant. Staffing requirement mentioned in the table below is the minimum requirement for the consultants. Staffing requirement mentioned in the table below is the minimum requirement for the consultancy assignment. Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.)    A Team Leader/WT Strategy   | Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP                                    |   | Existing Clause  |    |                     | Bidders query/Suggestion  | 1                              | AIWTDS Response |
|--|-----------|---|---|--|----|---------------------|---|--------------------------------|-----------------|
| A Technical Approach and Methodology   B Work Plan   3   C Organisation & Staffing   2   Total Points(Criteria-III)   15   |           |   |   |  |    |                     | Experts}  |                                |                 |
| Methodology   B   Work Plan   3   C   Organisation & Staffing   2   Total Points(Criteria-III)   15  |           |   |   |  |    |                     | Evaluation Sub Criteria   |                                |                 |
| C   Organisation & Staffing   2  |           |   |   |  |    | A                   | 1   | 10                             |                 |
| Section 2.   Total Points(Criteria-III)   15   |           |   |   |  |    | В                   | Work Plan   | 3                              |                 |
| Section 2. Instructions to Consultants - Data Sheet, Clause 21.1 Part B: Evaluation Criteria (IV)    Part B: Evaluation Criteria (IV)   Part B: Evaluation competence for the Assignment: (Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant: each position number corresponds to the same for t  |           |   |   |  |    | С                   | Organisation & Staffing   | 2                              |                 |
| Instructions to Consultants - Data Sheet, Clause 21.1. Part B: Evaluation Criteria (IV)  Riversia (IV)  Competence for the Assignment: (Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant. Staffing requirement mentioned in the table below is the minimum requirement for the consultancy assignment. Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.}  Key Experts  Team Leader/IWT Strategy 5 7 Specialist  Market/Demand Expert 6 3 Transport/Terminal Planner 6 4 Climate Change and Resilience expert  Expert  Social Expert  Competence for the Assignment: (Notes to Consultant and therefore we have proposed the following evaluation points related to each expert.  III Key Experts' qualifications and competence for the Assignment: (Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant. Staffing requirement mentioned in the table below is the minimum requirement for the consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.}  Expert  Social Ex |           |   |   |  |    |                     | Total Points(Criteria-III)  | 15                             |                 |
| Staffing requirement mentioned in the table below is the minimum requirement for the consultancy assignment. Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.}  Key Experts  1 Team Leader/IWT Strategy 7 Specialist  2 Market/Demand Expert 6 Climate Change and Resilience expert  5 Environmental Impact assessment Expert 3  Environmental Impact assessment 6 Social Expert 3  Staffing requirement mentioned in the same for the Key Experts in Form TECH-6 to be prepared by the Consultant. Staffing requirement mentioned in the table below is the minimum requirement for the consultancy assignment. Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.}  Key Experts  5 Environmental Impact assessment 5 Environmental Impact assessment 6 Social Expert 3  Tram Leader/IWT Strategy (refer to Query no.   |           | Instructions to<br>Consultants - Data<br>Sheet, Clause 21.1<br>Part B: Evaluation |   | competence for the Assignment: {Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to  |    | this<br>hav<br>to e | intricate complex assignment and re proposed the following evaluation each expert.  | therefore we<br>points related |                 |
| 1 Team Leader/IWT Strategy Specialist 2 Market/Demand Expert 3 Transport/Terminal Planner 6 However, any added position shall not be considered for evaluation.}  Expert Climate Change and Resilience expert Sexpert Sexpert Social Expert Social Expert Social Expert Social Expert Sassignment. Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.} Sexpert Sexpert Sexpert Specialist Specialist Specialist Consultants may add any expert if required based on their proposed methodology. However, any added position shall not be considered for evaluation.} Sexpert Sexpert Specialist Specialis |           | Criteria (IV)   |   | Staffing requirement mentioned in<br>the table below is the minimum<br>requirement for the consultancy<br>assignment. Consultants may add<br>any expert if required based on their<br>proposed methodology. However,<br>any added position shall not be<br>considered for evaluation.} |    |                     | competence for the Assignment: {Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant. Staffing requirement mentioned in the table below is the minimum |                                |                 |
| 2 Market/Demand Expert 6 3 Transport/Terminal Planner 6 4 Climate Change and Resilience expert 5 5 Environmental Impact assessment Expert 3 6 Social Expert 3  However, any added position shall not be considered for evaluation.}  Key Experts  1 Team Leader/IWT Strategy Specialist (refer to Query no.  |           |   | 1 | Team Leader/IWT Strategy   | 7  |                     | assignment. Consultants may add   |                                |                 |
| 3 Transport/Terminal Planner 6 shall not be considered for evaluation.} 4 Climate Change and Resilience 5 evaluation.}  Expert Sexpert Sexpert Specialist Specialist Flowever, any added position shall not be considered for evaluation.}  Team Leader/IWT Strategy Specialist (refer to Query no.)   |           |   | 2 |  | 6  | -                   |   |                                |                 |
| 4 Climate Change and Resilience expert Environmental Impact assessment Expert Specialist |           | ŀ   |   | =  |    | 1                   |   |                                |                 |
| 5 Environmental Impact assessment 3 1 Team Leader/IWT Strategy Specialist 10 (08+02) (refer to Query no.   |           |   |   | Climate Change and Resilience  |    |                     | evaluation.}  |                                |                 |
| 6 Social Expert 3 Query no.  |           |   | 5 | Environmental Impact assessment  | 3  | 1                   | Team Leader/IWT Strategy  | ` ′                            |                 |
| Total Points (Criteria-IV) 30 28)  |           |   | 6 |  | 3  | 1                   | Орестаны  | `                              |                 |
| 2 Market/Demand Expert 8   |           |   |   | Total Points (Criteria-IV)   | 30 |                     |   |                                |                 |

| 1/230648/2023<br>Sl.<br>No |   | Existing Clause  | Bidders query/Suggestion   | AIWTDS Response   |
|----------------------------|---|--|--|---|
|                            |   |  | 3 Transport/Terminal Planner 8 4 Climate Change and Resilience 6 expert 6 5 Environmental Impact 4   |   |
|                            |   |  | assessment Expert 6 Social Expert 4 Total Points (Criteria-IV) 40  |   |
| 17                         | Section 7. Terms of Reference, Clause 3. Scope of Consultancy (Task 1), page 71   |  | We request the Authority to kindly clarify if the secondary data sources and related data would be shared with the selected consultant or the same must be gathered by the selected consultant under this assignment.  | Available secondary data will be provided by AIWTDS to the selected consultant. |
| 18                         |   | current demand and historic growth demand pertaining to Cargo and Passenger for Inland Water Transport (IWT) in Assam. | We request the Authority to kindly clarify if information on historic and current demand for Cargo and Passenger for Inland Water Transport (IWT) in Assam readily available or the same have to be gathered from the respective entities/primary survey.  Kindly clarify if there is a requirement to undertake   |   |
| 19                         | Section 7. Terms of Reference, Clause 3. Scope of Consultancy (Task 1 – Point 09) | assess the current condition of IWT from urban and rural connectivity perspectives for all districts of Assam.         | primary survey for the assignment.  We request the Authority to kindly clarify if the assessment of connectivity is also to be carried out based on secondary sources and all required information like approach width, length, route connectivity, material used, etc. are available and will be shared with the selected Consultant.  Also kindly suggest if the Authority has suitable GIS maps that can be used for assessment of connectivity | Please refer Sl no. 6   |

#### 1/230648/2023

| 023 | Section,  |  |   |  |
|-----|---|--|---|--|
| Sl. | subsection  | Existing Clause  | Bidders query/Suggestion  | AIWTDS Response  |
| No  |   | Existing Charle  | Diducts query/suggestion  | 711 W 125 Response                                     |
| 20  | RFP Section 7. Terms of Reference, Clause 3. Scope of Consultancy (Task 2 – Point 05)         |  | We request the Authority to kindly clarify if the comparative assessment is to be carried out through national and international benchmarking or is the assessment to be carried out based on consultants' assumptions based on available studies with the Authority  |  |
| 21  | Section 7. Terms<br>of Reference,<br>Clause 3. Scope of<br>Consultancy<br>(Task 4)            | High level infrastructure gap assessment with respect to "as is assessment" and "demand forecast" of various clusters/zones, projects, and sub-projects as navigational infrastructure, river and land side infrastructure, river dredging and reclamation, river training, etc. | We request the Authority to suggest a suitable definition for "clusters/ zones, projects, and subprojects"  |  |
| 22  | Section 7. Terms<br>of Reference,<br>Clause 3. Scope of<br>Consultancy (Task<br>4 – Point 02) |  | We understand that in order to identify a suitable location for development of any IWT or IWT supporting infrastructure, land related information/land banks and land availability with the Authority is essential for providing any developmental propositions.  | The consultant should identify the suitable locations. |
|     |   |  | Therefore, we would request the Authority to kindly suggest is land related information and availability is readily available with the Authority or they would promptly provide guidance for gathering the required information from concerned Land and Land Reform entitiesacross the study stretch.   |  |
| 23  | Section 7. Terms of Reference, Clause 3. Scope of Consultancy (Task 4 – Points 03-05)         |  | We understand from the stated scope that feasibility assessment needs to be undertaken for the priority projects identified based on Multi- Criteria Assessment. This would share inputs on probable project development cost including CAPEX, OPEX, and other.  We also understand that in order to assess the impact for social and environment, project/sub-project specific feasibility assessment including concept plans with identified land boundaries would be required. | correct.   |

| 1/230648/20 <mark>23</mark><br>SI | Section, subsection   |  |   |  |
|-----------------------------------|---|--|---|--|
| No                                |   | <b>Existing Clause</b>   | Bidders query/Suggestion  | AIWTDS Response  |
|                                   |   | development. CAPEX, OPEX, environmental, social, connectivity, quality improvements etc.) and recommend the most suitable options.   | Kindly suggest if the understanding is correct.  Kindly also clarify the total limit of the projects or maximum number of priority projects that needs to be identified and assessed under this assignment  |  |
| 24                                | Section 7. Terms of Reference, Clause 3. Scope of Consultancy (Task 5 – Points 01), page 73 | outlining of interventions, projects, locations, policy and regulatory recommendations, capacity building needs and suggestions on conversation plans aimed at river Dolphins and other species.   | We request the Authority to kindly clarify if the" Conservations plan aimed at river Dolphins and other species" has already been prepared by the Authority and the same can be shared with the Consultant for review and assessment.  If not, we would request the Authority to carry out a separate study for development of a "Conservation Plan for river Dolphins and other species" within the study stretch".  Considering the study requirement, Consultant should not be held liable for development of the Conservation Plan for river Dolphins and other species  Kindly also clarify if outlining of interventions, projects, locations policy etc. include just a framework within this assignment. Detailed development of the same would be taken up at a later stage by the Authority and would not be included as part of this | Consultants are to outline only the interventions with respect to only conservations plan of river Dolphins and other species.   |
| 25                                | Section7.Termso<br>f<br>Reference,Clause<br>3ScopeofWork(T<br>ask6),<br>page74              | <ul> <li>Task 6: Stakeholder interaction, covering the following:</li> <li>Conduct a stakeholders' meeting with major industry players, users, shippers, passengers, end users etc. to obtain sector and sub-sector insights and requirements from current and future perspectives. The questionnaire for the stakeholder meeting/workshop to be discussed with AIWTDS and the World Bank.</li> <li>Conduct a stakeholder's workshop with key agencies and departments in the sector involved in transportation, industry, and other relevant</li> </ul> | assignment  We understand from the description of the assignment that the stakeholder consultation is an ongoing process. And therefore, the discussions would be held across the deliverable timeline.  Further, as described in Task 6 of the ToR, we understand that there would be only one workshop that would be undertaken under the auspices of the Assam Inland Water Transport Development Society, and the payment milestone is linked to delivery/completion of that workshop only.   | Stakeholder consultation is an ongoing process, leading to one Stakeholder workshop, the payment for which is as per Payment Milestone M-5.  All the necessary arrangement for the Workshop shall be done by |

| 1/230648/2023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP                          | Existing Clause  | Bidders query/Suggestion   | AIWTDS Response  |
|----------------------------|---|--|--|--|
|                            |   | departments to obtain insights into their current and future plans as well as findings of this study with a focus and empirical evidence of potential benefits of (GHG savings, air quality improvement and other benefits) by adopting an integrated inland water transport strategy at State level.  Include aspects of international, national, state and regional IWT which may be covered in separate meetings or together based on discussion with AIWTDS and the Bank.  Document key responses and feedback from the questionnaires as well as from the stakeholders' workshop into a Stakeholder Outcome Report. This should also include a way forward on how the stakeholder feedback could be utilized for the study.   | We kindly request the Authority to confirm on the above-mentioned points.  We request the Authority to kindly suggest if the cost of the Workshop will be borne by the Authority.  | the consultant upon discussion with the AIWTD Society. Cost of the Workshop will be reimbursed by the Authority on submission of Invoices at actual. |
| 26                         | Section 7. Terms of Reference, Clause 3 Scope of Work (Task 7), page 74 | <ul> <li>Project prioritization, phasing, structuring, implementation strategy &amp; framework, covering the following:         <ul> <li>identify and select a few key projects/sub-projects in various categories that should be prioritized. Some of the key criteria for selection of these key projects/ sub-projects shall be climate mitigation, GHG saving, economic impact potential by shifting to inland water transit, and tourism potential.</li> <li>prepare the short, medium, and long-term investment plan for IWT Assam as well as selected key projects/ sub-projects and the funding / financing mechanism (public and private).</li> <li>Identify within the key projects/ sub-projects viability gap funding (VGF) needs based on project structuring and financial model.</li> <li>identify training and capacity building needs for successful implementation of the strategy and sub-projects keeping in mind future potential as well.</li> <li>Prepare an action plan with roles and responsibilities of various stakeholders required for implementation of the strategy and phasing along</li> </ul> </li> </ul> | We understand from the stated scope that a detailed financial and economic assessment would have to be carried out in order to identify need for VGF and the probable project structuring mechanism as well as funding requirement for the prioritized projects.  Kindly suggest if the understanding is correct.  We request the Authority to kindly also clarify the stated scope: "prepare the short, medium, and long-term investment plan for IWT Assam as well as selected key projects/ sub-projects and the funding / financing mechanism (public and private)."  Kindly suggest if we have to prepare two short, medium, and long-term investment plans  1. for overall IWT sector  2. another for selected key projects/ sub-projects Please clarify | Bidder's understanding is correct.   |

#### 1/230648/20<del>23</del> Section

| 023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP | Existing Clause |  |  | Bidders query/Suggestion AIWTDS Response |            |  |
|------------------|--|-----------------|--|--|--|------------|--|
|                  |  |                 | with sugges other option   | tions to leverage<br>s.  | the private                              | sector and |  |
| 27               | Section 7. Terms of Reference, Clause 4        | shall           | l submit the f   | ion shall be 8 mo<br>following key del<br>summarized belo  | iverables wit                            |            | We understand from the review of the Task 4 points 3-5 that the assessment of sites entails preparing a feasibility report with technical, financial and economic viability as well as social and  |
|                  |  | Sl.<br>No       | Milestone  | Deliverables   | Timelines                                | Payment %  | environmental impact assessment for priority sites.  In addition to the above, we understand that there are  |
|                  |  |                 |  | ion of water tran  |  |            | other multiple tasks and assessments including option assessment, review the current institutional   |
|                  |  | 1.              | M-1  | Inception Report including detailed methodology and team composition   | T+2<br>weeks                             | 10%        | framework demand assessment, traffic forecast, benchmarking, preparation of policy note, action investment plans, etc. that would need to be carried out within this assignment in order to finally create a "coffee table book with the summary of the Vision, Mission and phase wise strategy for water transport in Assam." |
|                  |  | 2.              | M-2  | Current Situational analysis report  | T+6<br>Weeks                             | 20%        | Considering the gamut of tasks and related activities, we propose the study duration may be extended to at least 12 months and extendable to 06 months on  |
|                  |  | 3.              | 3. M-3 Gap T+12 10% mutually agreed terms instead of the st months.  report covering task Since the level of effort and associated costs of the st months. | mutually agreed terms instead of the stated 08 months.  Since the level of effort and associated costs of all the deliverables based on our experience of delivering |  |            |  |
|                  |  | 4.              | M-4  | Draft Report<br>on Water<br>Transport<br>Strategy<br>covering  | T+20<br>Weeks                            | 15%        | similar assignments, we kindly request the Authority to consider the following modifications in the in the payment milestones linked to the deliverables.  Sl. Milest Deliverables Timeline Paymen   |
|                  |  | 5.              | M-5  | tasks 1-5 Stakeholder consultation   | T+24<br>Weeks                            | 20%        | No one s t %   |
|                  |  | 6.              | M-6  | Final Report<br>on Water   | T+32<br>Weeks                            | 25%        | Preparation of water transport strategy  T = Date of Commencement of Services as per SCC   |

| 18/2023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP | Existing Clause   |     |            | Bidders query/Su  | ıggestion     |            | AIWTDS Response |
|----------------------|--|---|-----|------------|---|---------------|------------|-----------------|
|                      |  | Transport Strategy covering tasks 1-8 including coffee table version  Physical Presentation is required at Client office at every                         | 1.  | M-1        | Inception Report including detailed methodology and team composition  | T+2<br>weeks  | 10%        |                 |
|                      |  | milestone and all models (demand forecast, emission inventory, sub-project financial and economic assessment) to be shared in editable and working format | 2.  | M-2        | Current Situational analysis report   | T+6<br>Weeks  | 20%        |                 |
|                      |  | with the deliverables   | 3.  | M-3        | Gap<br>assessment<br>report<br>covering task<br>2 to 4  | T+12<br>Weeks | 10%        |                 |
|                      |  |   | 4.  |            |   |               |            |                 |
|                      |  |   | 5.  | M-4        | Draft Report<br>on Water<br>Transport<br>Strategy<br>covering tasks<br>1-5                                    | T+20<br>Weeks | 15%        |                 |
|                      |  |   | 6.  | M-5        | Stakeholder consultation  | T+24<br>Weeks | 20%        |                 |
|                      |  |   | 7.  | M-6        | Final Report on Water Transport Strategy covering tasks 1-8 including coffee table version st of time, we rec | T+32<br>Weeks | 25%        |                 |
|                      |  |   | lim | it the nur | st of time, we red<br>nber of priority lo<br>signment.  |               |            |                 |
| 28                   | Section 7. Terms                               | TOR requirement:  | Cor | nsidering  | the scope of work   | k envisaged   | under this | No change       |

| 1/230648/20 <mark>23</mark><br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP   | Existing Clause   | Bidders query/Suggestion  | AIWTDS Response   |
|--|--|---|---|---|
|  | of Reference, Clause 1 Experts Requirement & Eligibility Criteria 1.1 Experts Requirement, page 76 | Key Personnel - Team Leader/IWT Strategy Specialist  Educational Qualification - Graduate in Engineering / planning  MBA/Master's Degree in relevant field  Relevant Experience - Minimum 20 years of experience of working on Strategy Preparation, Vision, document at State or National Govt Experience of working on transport sector specially ports will be preferred   | assignment, we suggest that experience of the Team Leader/IWT Strategy Specialist specific to this assignment would be relevant but total years of experience would be essential. Therefore, we kindly request the Authority to revise the no. of years of relevant experience to more than 15 years.  Therefore, as modified in Query no. 16, the marks calculation may be adjusted to maximum of 08 marks for general qualification (20%), adequacy for the assignment (70%) and relevant experience in the North East (10%) and as additional 02 points for Team Leader with more than 20 years of experience.  Therefore, the revised Clause may be read as follows:  "Relevant Experience – More than 15 years of experience of working on Strategy Preparation, Vision, document at State or National Govt Experience of working on transport sector" |   |
| 29                                       | Section 7. Terms<br>of Reference,<br>Annex 1   | <ul> <li>Annex 1: List of Studies related to IWT, Assam will be provided to the Selected Consultant after signing of Contract Agreement</li> <li>Detailed project report for Guwahati terminal</li> <li>2 Integrated Strategic Development Plan.</li> <li>Institutional Strenghtening and Business Planning.</li> <li>Detailed Project Report, North Guwahati, Umananda, Neamati and Aphalamukh.</li> <li>Environmental Impact Assessment (EIA) and Social Impact Assessment (SIA) studies.</li> <li>Night Navigation study.</li> </ul> | Request Authority to clarify if the documents would be shared with the Consultant for reference purposes.   | The documents will be shared only with the successful bidder. |
| 30                                       | Section 8. Conditions of Contract and Contract Forms (Lump-Sum),                                   | <ul> <li>12. Termination of Contract for Failure to Become Effective</li> <li>12.1. If this Contract has not become effective within such time period after the date of Contract signature as</li> </ul>  | Request Authority to modify the said Clause to the following:  Clause 19 (b) By the Consultant 19.1.3. The Consultant may terminate this Contract,  | No change   |

| 1/230648/2023 | Section,   | 1   | 1  |                  |
|---------------|--|---|--|------------------|
| Sl.           | subsection   | Existing Clause   | Bidders query/Suggestion   | AIWTDS Response  |
| No            | and clause in<br>RFP   | Existing Clause   | bidders query/suggestion   | ATW 1D5 Response |
|               | Clause 12,   | twenty two (22)days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party   | by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.   |                  |
|               | Become Effective, page 84-85 And Clause 19 Termination on page 88-89 | shall have any claim against the other Party with respect hereto.  19.Termination 19.1. This Contract may be terminated by either Party as per provisions setup below:  a. By the Client  | (a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause GCC 45.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.  (b) If, as the result of Force Majeure, the   |                  |
|               |  | 19.1.1. The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and at least five (5) calendar days' written notice in case of the event referred to in (f):   | Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.  (c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 45.1.  (d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach. |                  |
|               |  | <ul> <li>(a) If the Consultant fails to remedy a failure in theperformanceofitsobligationshereunder, asspecifie dinanoticeofsuspension pursuant to Clause GCC 18;</li> <li>(b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debtor take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;</li> <li>(c) If the Consultant fails to comply with any final</li> </ul> | Notwithstanding the above, the Consultant may terminate this Agreement, or any particular Services, immediately upon written notice to the Client if the Consultant reasonably determines that it can no longer provide the Services in accordance with applicable law or professional obligations.  |                  |

| 1/230648/2023 | C .*                   |  |                          | 1               |
|---------------|------------------------|--|--------------------------|-----------------|
| Sl.           | Section,<br>subsection |  | P:11 (6                  | A IXAMEDO D     |
| No            | and clause in          | Existing Clause  | Bidders query/Suggestion | AIWTDS Response |
|               | RFP                    |  |                          |                 |
|               |                        | decision reached as a result of arbitration  |                          |                 |
|               |                        | proceedings pursuant toClauseGCC45.1;  |                          |                 |
|               |                        | (d) If,astheresultofForceMajeure,theConsultantisunabl  |                          |                 |
|               |                        | etoperformamaterialportionoftheServicesfora period of  |                          |                 |
|               |                        | not less than sixty (60) calendar days;  |                          |                 |
|               |                        | (e) IftheClient,initssolediscretionandforanyreaso  |                          |                 |
|               |                        | nwhatsoever,decidestoterminatethisContract;  |                          |                 |
|               |                        | (f) IftheConsultantfailstoconfirmavailabilityofKeyExpe   |                          |                 |
|               |                        | rtsasrequiredinClauseGCC13.  |                          |                 |
|               |                        | 19.1.2. Furthermore, if the Client determines that the   |                          |                 |
|               |                        | Consultant has engaged in Fraud and Corruption in  |                          |                 |
|               |                        | competing for or in executing the Contract, then the   |                          |                 |
|               |                        | Client may, after giving fourteen (14) calendar days   |                          |                 |
|               |                        | written notice to the Consultant, terminate the  |                          |                 |
|               |                        | Consultant's employment under the Contract.  |                          |                 |
|               |                        | b. By the Consultant   |                          |                 |
|               |                        | 19.1.3. The Consultant may terminate this Contract, by   |                          |                 |
|               |                        | not less than thirty(30)calendar days' written notice to   |                          |                 |
|               |                        | the Client, in case of the occurrence of any of the events   |                          |                 |
|               |                        | specified in paragraphs(a)through(d)of this Clause.  |                          |                 |
|               |                        | (a) If the Client fails to pay any money due to the  |                          |                 |
|               |                        | Consultant pursuant to this Contract and not subject   |                          |                 |
|               |                        | to disputepursuanttoClauseGCC45.1withinforty-  |                          |                 |
|               |                        | five(45)calendar days after receiving written notice from the Consultant that such payment is overdue. |                          |                 |
|               |                        | (b) If,astheresultofForceMajeure,theConsultantisunabl  |                          |                 |
|               |                        | etoperformamaterialportionoftheServicesforaperiod  |                          |                 |
|               |                        | of not less than sixty (60)calendar days.  |                          |                 |
|               |                        | (c) If the Client fails to comply with any final decision  |                          |                 |
|               |                        | reached as a result of arbitration pursuant to Clause  |                          |                 |
|               |                        | GCC 45.1.  |                          |                 |
|               |                        | (d) If the Client is in material breach of its obligations   |                          |                 |
|               |                        | pursuant to this Contract and has not remedied the   |                          |                 |
|               |                        | same within forty- five (45) days (or such longer  |                          |                 |
|               |                        | period as the Consultant may have subsequently   |                          |                 |
|               |                        | approved in writing) following the receipt by the  |                          |                 |
|               |                        | Client of the Consultant's notice specifying such  |                          |                 |

#### 1/230648/20<del>23</del> Section

| 20 | SI.<br>No | Section,<br>subsection<br>and clause in   | Existing Clause   | Bidders query/Suggestion  | AIWTDS Response |
|----|-----------|---|---|---|-----------------|
| +  |           | RFP   | breach.   |   |                 |
|    | 31        | Section 822 Conditions of Contract and Contract Forms (Lump-Sum), Clause 22, Confidentiality page 91            |   | Request Authority to modify the said Clause to the following:  Confidentiality  22.1 Except with the prior written consent of the Client, the Consultant and the Experts shall not at any time communicate to any person or entity any confidential information acquired from the Client in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or as a result of, the Services. The Consultant may, however, disclose such confidential information to the extent that it: (a) is or becomes public other than through a breach of this Agreement, (b) is subsequently received by the receiving party from a third party who, to the receiving party's knowledge, owes no obligation of confidentiality to the disclosing party with respect to that information, (c) was known to the receiving party at the time of disclosure or is thereafter created independently, (d) is disclosed as necessary to enforce the receiving party's rights under this Agreement, or (e) must be disclosed under applicable law, legal process or professional regulations. These obligations shall be valid for a period of 3 years from the date of termination of this Agreement. | No change       |
|    | 32        | Section 8. Conditions of Contract and Contract Forms (Lump-Sum), Clause 23, Liability of the Consultant page 91 | 23. Liability of the Consultant 23.1 Subject to additional provisions, if any, set forth in the SCC, the Consultant's liability under this Contract shall be provided by the Applicable Law.  SCC #23.1  The following limitation of the Consultant's Liability towards the Client can be subject to the Contract's Negotiations: | Request Authority to modify the said Clause to the following:  23. Liability of the Consultant 23.1 Subject to additional provisions, if any, set forth in the SCC, the Consultant's liability under this Contract shall be as provided by the Applicable Law under the terms of this agreement.  | No change       |

| 1/230648/2023 | Section,   |   |  |                 |
|---------------|--|---|--|-----------------|
| Sl.<br>No     | subsection<br>and clause in<br>RFP                             | Existing Clause   | Bidders query/Suggestion   | AIWTDS Response |
|               | and III. Special Conditions of Contract, Clause 23.1, page 101 | "Limitation of the Consultant's Liability towards the Client:  (a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Client's property, shall not be liable to the Client:  (i) for any indirect or consequential loss or damage; and (ii) for any direct loss or damage that exceeds one times the total value of the Contract;  (b) This limitation of liability shall not  (i) affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services;  (ii) be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the Indian Applicable Law. | The following limitation of the Consultant's Liability towards the Client can be subject to the Contract's Negotiations:  "Limitation of the Consultant's Liability towards the Client: (a)Except in the case of gross negligence fraud or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant, with respect to damage caused by the Consultant, with respect to damage caused by the Consultant to the Client's property, shall not be liable to the Client: (i) for any indirect or consequential loss or damage; and (ii) Except in the case of fraud or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, for any direct loss or damage that exceeds one times the total value of the Contract; (b) This limitation of liability shall not (i) affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services; (ii) be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the |                 |
| 33            |  | Clause25[Pg.92]   | Indian Applicable Law.  Request Authority to modify the said Clause to the   | No change       |
|               | 8.Conditions   | 25.1 The Consultant shall keep and shall make all   | following:   |                 |
|               | of Contract  | reasonable efforts to cause its Sub-consultants to keep,  |  |                 |
|               | and Contract   | accurate and systematic accounts and records in respect   | <b>25.1</b> The Consultant shall keep and shall make all   |                 |
|               | Forms(Lump-  | of the Services and in such form and detail as will clearly   | reasonable efforts to cause its Sub-consultants to   |                 |

| 1/230648/20 <del>23</del><br>Sl.<br>No |                          | Existing Clause   | Bidders query/Suggestion   | AIWTDS Response |
|--|--------------------------|---|--|-----------------|
|  | Sum),Clause2<br>5,page92 | identify relevant time changes and costs.  25.2 Pursuant to paragraph 2.2 e. of Appendix to the General Conditions the Consultant shall permit and shall cause its subcontractors and sub consultants to permit, the Bank and/or persons appointed by the Bank to inspect the Site and/or the accounts and records relating to the performance of the Contract and the submission of the bid, and to have such accounts and records audited by auditors appointed by the Bank if requested by the Bank. The Consultant's and its Subcontractors' and sub consultants' attention is drawn to  Sub-Clause10.1whichprovides,interalia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination(as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures). | keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.  25.2 Pursuant to paragraph 2.2 e. of Appendix to the General Conditions the Consultant shall permit and shall cause its subcontractors and sub consultants to permit, the Bank and/or persons appointed by the Bank to inspect the Site and/or the account sand records relating to the performance of the Contract and the submission of the bid, and to have such accounts and records audited by auditors appointed by the Bank if requested by the Bank. The Consultant's and its Subcontractors' and sub consultants' attention isdrawntoSub-Clause10.1whichprovides,interalia,thatactsintendedto materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination(as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures). Notwithstanding anything contained herein, any audit and/or request for information conducted shall be restricted to the physical files in relation to this Agreement only and shall be subject to the Bank agreeing to maintain confidentiality of these documents. No access to Service Provider's systems, network, facilities, or hands on or intrusivetestingwillbepermitted. Anythirdpartiese mployedbytheBanktoconduct such audit or request for information shall not be a competitor of Service Provider and shall agree to confidential obligations with Service Provider, for the said purpose. |                 |
| 34                                     | Section 8.Conditions of  | 27. Proprietary Rights of the Client in Reports and   | Request Authority to modify the said Clause to the following:  | No change       |

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|    |   |   |   |    |    |   |   |   |   |

| 0 23 |     | Section,       |  |   |                 |
|------|-----|----------------|--|---|-----------------|
|      | 31. | subsection     |  |   |                 |
|      | lo  | and clause in  | Existing Clause  | Bidders query/Suggestion                                    | AIWTDS Response |
| 1    | 10  | RFP            |  |   |                 |
|      |     | Contract and   | Records  |   |                 |
|      |     | Contract       |  | 27. Proprietary Rights of the Client in Reports and         |                 |
|      |     | Forms(Lump-    | 27.1 Unless otherwise indicated in the SCC, all reports    | Records   |                 |
|      |     | Sum),Clause    | and relevant data and information such as maps,            |   |                 |
|      |     | 27,Proprietary | diagrams, plans, databases, other documents and            | 27.1 Unless otherwise indicated in the SCC, all             |                 |
|      |     | Rights of the  | software, supporting records or material compiled or       | reports and relevant data and information such as           |                 |
|      |     | Client in      | prepared by the Consultant for the Client in the course    | maps, diagrams, plans, databases, other documents           |                 |
|      |     | Reports and    | of the Services shall be confidential and become and       | and software, supporting records or material                |                 |
|      |     | Records,page9  | remain the absolute property of the Client. The            | compiled or prepared by the Consultant for the Client       |                 |
|      |     | 2              | Consultant shall, not later than upon termination or       | in the course of the  |                 |
|      |     | _              | expiration of this Contract, deliver all such documents    | Servicesshallbeconfidential <del>andbecomeandremainth</del> |                 |
|      |     |                | to the Client, together with a detailed inventory thereof. | eabsolutepropertyofthe Client. The Consultant               |                 |
|      |     |                | The Consultant may retain a copy of such documents,        | shall, not later than upon termination or expiration of     |                 |
|      |     |                | data and/or software but shall not use the same for        | this Contract, deliver all such documents to the            |                 |
|      |     |                | purposes unrelated to this Contract without prior written  | Client, together with a detailed inventory thereof.         |                 |
|      |     |                | approval of the Client.                                    | The Consultant may use data, software, designs,             |                 |
|      |     |                | upprovar of the Chem.                                      | utilities, tools, models, systems and other                 |                 |
|      |     |                |  | methodologies and know-how ("Materials") that it            |                 |
|      |     |                |  | owns in performing the Services. Notwithstanding            |                 |
|      |     |                |  | the delivery of   |                 |
|      |     |                |  | anyReports,theConsultantretainsallintellectualpro           |                 |
|      |     |                |  | pertyrightsintheMaterials(includinganyimprovemen            |                 |
|      |     |                |  | tsorknowledgedevelopedwhileperforming the                   |                 |
|      |     |                |  | Services), and in any working papers that                   |                 |
|      |     |                |  | theConsultantcompilesandretainsinconnectionwit              |                 |
|      |     |                |  | htheServices(butnot information provided by                 |                 |
|      |     |                |  | <b>Client reflected in them).</b> The Consultant may retain |                 |
|      |     |                |  | a copy of such documents, data and/or software but          |                 |
|      |     |                |  | shall not use the same for purposes unrelated to his        |                 |
|      |     |                |  | Contract  |                 |
|      |     |                |  | withoutpriorwrittenapprovaloftheClient.                     |                 |
| 3    | 35  | N/A            | New Clause   | Request Authority to include the following new              | Not accepted    |
|      |     |                |  | Clause:   | •               |
|      |     |                |  |   |                 |
|      |     |                |  | Any information, advice, recommendations or                 |                 |
|      |     |                |  | other content of any reports, presentations or              |                 |
|      |     |                |  | other communications the Consultant provides                |                 |

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| 0 23 |              | Section,                    |   |  |                            |
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| 9    | SI.          | subsection                  |   |  |                            |
|      |              | and clause in               | Existing Clause   | Bidders query/Suggestion                                 | AIWTDS Response            |
| I    | lо           | RFP                         |   |  |                            |
|      |              |                             |   | under this Agreement ("Reports"), other than             |                            |
|      |              |                             |   | information provided by the Client, are for              |                            |
|      |              |                             |   | Client's internal use only (consistent with the          |                            |
|      |              |                             |   | purpose of the particular Services) including its        |                            |
|      |              |                             |   | audit committee, or its statutory auditors and not       |                            |
|      |              |                             |   | for disclosure externally outside Client's               |                            |
|      |              |                             |   | organization.  |                            |
| 3    | 36           | Section 2.                  | Clause 6.3, As an exception to the fore going ITC 6.1       | We would like to request you to kindly allow             | Refer Corrigendum-II       |
|      |              | Instructions to             | and ITC 6.2 above: Joint Venture, association with other    | Consortium / Joint venture under this bid, so that       |                            |
|      |              | Consultants                 | firms and engagement of Sub-consultant is not allowed.      | experience of reputed service providers of the           |                            |
|      |              | and Data Sheet,             |   | relevant nature will be considered jointly for           |                            |
|      |              | Clause 6,                   |   | evaluation.  |                            |
|      |              | Eligibility                 |   |  |                            |
| 3    | 37           |                             | The firm should have completed at least 5 (five) projects   | The firm should have completed/ Ongoing at least 5       | Refer Corrigendum-II       |
|      |              |                             | related to Master Planning/ Business Plan/                  | (five) projects related to Master Planning/ Business     |                            |
|      |              |                             | DPR/Feasibility Study/ Transport Strategy / in Marine       | Plan/ DPR/Feasibility Study/ Transport Strategy / in     |                            |
|      |              |                             | sector related to Ports/ Inland Waterways Projects during   | Marine sector related to Ports/ Inland Waterways         |                            |
|      |              |                             | the last 5 years (as on the last date of submission) out of | Projects during the last 5 years (as on the last date of |                            |
|      |              |                             | which: · 1(one) should be in the                            | submission) out of which: · 1(one) should be in the      |                            |
|      |              |                             | IWT/Marine Sector.  | IWT/Marine Sector.                                       |                            |
|      |              |                             | 1(one) should be a donor funded project in India in any     | 1(one) should be a donor funded project in India in      |                            |
|      |              |                             | sector.   | any sector.  |                            |
|      |              |                             |   | We request department to also consider ongoing           |                            |
| -    | 10           | C .: 2                      |   | projects as well. Please confirm?                        | TPI //:                    |
|      | 88           | Section 2:                  | Technical and Financial Credentials                         | We request client to allow wholly owned Indian           | The parent/group company   |
|      |              | Instructions to Consultants |   | subsidiaries can utilize the technical credentials of    | (ies) can participate as a |
|      |              | and Data Sheet              |   | their parent/group company(ies).                         | Joint Venture with their   |
|      |              | did Data Silect             |   |  | wholly owned Indian        |
|      | $\downarrow$ |                             |   |  | subsidiaries.              |
| - 3  | 39           | Section 2.                  | The firm should have completed at least 5 (five) projects   | The proposed clause would be read as follows:            | Refer Corrigendum II       |
|      |              | Instructions to             | related to Master Planning/ Business Plan/                  |  |                            |
|      |              | Consultants -               | DPR/Feasibility Study/ Transport Strategy / in Marine       | The firm should have worked on at least 5 (five)         |                            |
|      |              | Data Sheet,                 | sector related to Ports/ Inland Waterways Projects during   | projects related to Master Planning/ Business Plan/      |                            |
|      |              | Clause 21.1                 | the last 5 years (as on the last date of submission) out of | DPR/Feasibility Study/ Transport Strategy / in Ports/    |                            |
|      |              | Part A:                     | which:  | Inland Waterways / allied infrastructure Projects        |                            |
|      |              | Mandatory                   | • 1(one) should be in the IWT/Marine Sector.                | during the last 10 years (as on the last date of         |                            |

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|                                     | Criteria (3)  | <ul> <li>1(one) should be a donor funded project in India in any sector.</li> <li>Documents Required: Contract Completion certificate or Contract documents along with proof of final payment.</li> </ul>   | submission) out of which:  • 1(one) should be in the IWT/Marine Sector.  • 1(one) should be a donor funded project in India in any sector.  For the project to be considered eligible, it must be completed / the scope related to Master Planning/Business Plan/ DPR/Feasibility Study/ Transport Strategy, must be completed by the bidder (as on the last date of submission), and the bidder shall submit documentary evidence in favour of the same.  |                      |
|                                     |   |   | Documents Required: Contract Completion certificate / Partial Completion certificate with confirmation on completion of relevant scope / Contract documents along with proof of final payment.   |                      |
| 4                                   | O Section 2. Instructions to Consultants - Data Sheet, Clause 21.1 Part B: Evaluation Criteria (II) | Technical experience Criteria: The firm should have the minimum experience of successfully executing the following during the last 5 years (as on the last date of submission):  1) At least 1 project in Strategy Document preparation for Port or IWT - 5 Points (Additional 5 marks for additional assignment(s). Subjected to maximum 15 marks)  2) At least 1 project in Strategy Document preparation for any Transportation Sector - 5 Points  3) At least 1 project in Master Planning for Port or IWT - 5 Points | The proposed clause would be read as follows:  Technical experience Criteria: The firm should have the minimum experience of working on the following during the last 10 years (as on the last date of submission):  1) At least 1 project in Strategy Document preparation for Port or IWT or allied infrastructure sector - 5 Points (Additional 5 marks for additional assignment(s). Subjected to maximum 15 marks)  2) At least 1 project in Strategy Document preparation for any Transportation Sector - 5 Points  3) At least 1 project in Master Planning for | Refer Corrigendum II |
|                                     |   | 4) At least 1 project in Business Plan for Port or IWT - 5 Points   | 3) At least 1 project in Master Planning for Port or IWT or allied infrastructure sector - 5 Points  |                      |

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| 023<br>Sl.<br>No | Section,<br>subsection<br>and clause in<br>RFP                             | Existing Clause  | Bidders query/Suggestion  | AIWTDS Response  |
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|                  |  | <ul> <li>5) At least 1 project in DPR/ Feasibility Study for Port or IWT - 5 Points</li> <li>6) At least 1 project in Policy and Legal Framework for Port or IWT or any transportation sector - 5 Points</li> </ul>  | <ul> <li>4) At least 1 project in Business Plan for Port or IWT or allied infrastructure sector - 5 Points</li> <li>5) At least 1 project in DPR/ Feasibility Study for Port or IWT or allied infrastructure sector - 5 Points</li> <li>6) At least 1 project in Policy and Legal</li> </ul>  |  |
| 41               | Section 7. Terms of Reference, 3. Scope of Consultancy, Task 1             | "Review State's climate plan to understand the current level of emissions from Transport sector (including urban transport), future projections in both with and without scenario with an increased focus on transport sector emissions and its recommendations in IWT, Assam. (in case State level transport emission inventory is not available, consultants to prepare a broad level model to assess emissions from different modes including but not limited to road, rail, air and inland water transport of transit for next 20 years for passenger and cargo movement)" | Framework for Port or IWT or any transportation sector - 5 Points  We wish to highlight that preparation of a broad level model to assess emissions from different modes including but not limited to road, rail, air and inland water transport of transit for next 20 years for passenger and cargo movement, is a lengthy task and would involve a significant cost for the bidders.  Therefore, we request the Authority to kindly confirm if such State level transport emission inventory will be made available by the Authority or the bidders will require to prepare a State wise emission model, so that the appropriate cost can be accounted during preparation of the proposal. | Refer Corrigendum II   |
| 42               | Section 7. Terms of Reference, 3. Scope of Consultancy, Task 2             | Carry out a 20-year macro level demand forecast for cargo and passenger services in Assam IWT (NW-2 and NW-16) and potential for water tourism and intermodal transport  | We request the Authority to clarify if primary traffic and transport surveys are mandatory for preparation of 20-year macro level demand forecast for cargo and passenger services in Assam IWT. Primary surveys involve a significant cost, therefore clarity on the same is imperative for preparation of an informed proposal.   | The consultant shall carry out the demand forecasting through primary survey.  |
| 43               | Section 7. Terms<br>of Reference, 3.<br>Scope of<br>Consultancy, Task<br>4 | "identify projects which can be developed as integrated multimodal terminals or can be integrated with other modes of transport and prepare broad level environmental and social impact assessments and suggest possible mitigation measures.  prepare high level block cost estimates (total and phase  | The proposed clause would be read as follows:  "identify projects which can be developed as integrated multimodal terminals or can be integrated with other modes of transport and prepare broad level environmental and social impact assessments and suggest possible mitigation measures.  | No change. The consultant shall identify projects that can be developed as a inter modal terminals or can be integrated with other modes of transport. |

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| 023<br>S<br>N |   | Existing Clause   | Bidders query/Suggestion   | AIWTDS Response   |
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|               |   | wise) for the envisaged project developments and potential sources of funding and financing." | prepare high level block cost estimates (total and phase wise) for top (5) priority  project envisaged and potential sources of funding and financing."  | The consultant is expected to create a broad level template for ESIA covering 9 chapters (as per World bank) for the project and suggest mitigation measures. |
| 4             | 4 Section 7. Terms of Reference, Clause 4 | "Total study duration shall be <b>8 months</b> "  | Based on the number of assignments we have undertaken involving similar scope of work and considering the time-input required to undertake the detailed assessments as specified in the ToR and prepare an informed integrated water transport strategy for the state of Assam, we kindly request the Authority to consider at least 12 months for study duration and the timeline of deliverables may be adjusted proportionally.   | No Change.  |
| 4             | 5 N/A                                     | N/A   | Project Budget: Basis the published information regarding the project by the World Bank, we understand that the budget for this assignment is ~USD 1,87,000 (~INR 1.53 Cr. at current conversion rate). However, considering the effort (in terms of manmonths) required to successfully deliver this assignment, we believe that the budget allocated for this assignment is not adequate. Therefore, we kindly request the Authority to reconsider the budget for this assignment / confirm the same for bidders to prepare an informed proposal | No Change.  |

(Gaurav Upadhyay, IPS) State Project Director, Assam IWTD Society 1/230648/2023